

FOR PATENT APPLICATION

My residence, post office address and citizenship is as

I believe I am the original and first and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled SYSTEM AND METHOD FOR CONTROLLING THE OPERATION OF TOYS, the specification of which (check one)

 X is attached hereto
 was filed on January 10, 1997
Application Serial No. *

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Sec. 1.56(a).

Prior Foreign Application(s)

Priority Claimed

<u>NONE</u>				
<u>Number</u>	<u>Country</u>	<u>Day/Month/Year filed</u>	<u>Yes</u>	<u>No</u>

EXPRESS MAIL NO. EM218452412US

application in the manner provided by the first paragraph of Title 35, United States Code, Sec. 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Sec. 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

<u>Appln. Serial No.</u>	<u>Filing Date</u>	<u>Status</u> (patented, pending abandoned)
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint the following attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

ELLSWORTH R. ROSTON, Registration No. 16,310, JOHN K. FITZGERALD, Registration No. 38,881, JAMES W. PAUL, Registration No. 29,967, DAVID G. PARKHURST, Registration No. 29,422, RICHARD A. BARDIN, Registration No. 20,365, JOHN S. NAGY, Registration No. 30,664, and GILBERT B. KOVELMAN, Registration No. 19,552. Direct all telephone calls to Ellsworth R. Roston, at telephone No. (213) 824-5555.

Address all correspondence to:

FULWIDER, PATTON, LEE & UTECHT, LLP
10877 Wilshire Boulevard, Tenth Floor
Los Angeles, California 90024

CA

Full name of inventor: ¹⁻⁰⁰ Peter C. DeAngelis

Inventor's signature: 

Date: 2-7-97

Residence: Carlsbad, California U.S.A.
CA

Citizenship: United States

Post Office Address: 2430 Torrejon Place
Carlsbad, California 92009

08797188-02197
26TT20"8BT/6480

Applicant or Patentee: Peter C. DeAngelis Attorney's
Serial or Patent No: _____ Docket No. 40907

Filed or Issued: _____
SYSTEM AND METHOD FOR CONTROLLING THE OPERATION OF TOYS

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN**

I hereby declare that I am
☐ the owner of the small business concern identified below
☒ an official of the small business concern empowered to act on
behalf of the concern identified below:

NAME OF CONCERN: ROKENBOK TOY COMPANY
ADDRESS OF CONCERN: 119 Aberdeen, Suite 7, Cardiff, CA 92007

I hereby declare that the above identified small business concern
qualifies as a small business concern as defined in 13 CFR 121.3-18, and
reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under
Section 41(a) and (b) of Title 35, United States Code, in that the number of
employees of the concern, including those of its affiliates, does not exceed
500 persons. For purposes of this statement, (1) the number of employees of
the business concern is the average over the previous fiscal year of the
concern of the persons employed on a full-time, part-time, or temporary
basis during each of the pay periods of the fiscal year, and (2) concerns
are affiliates of each other when either, directly or indirectly, one
concern controls or has the power to control the other, or a third party or
parties controls or has the power to control both.

I hereby declare that rights under contract or law have been
conveyed to and remain with the small business concern identified above with
regard to the invention entitled: SYSTEM AND METHOD FOR CONTROLLING THE
OPERATION OF TOYS by Peter C. DeAngelis described in

☒ the specification filed herewith
☐ application Serial No. _____, filed _____
☐ Patent No. _____, issued _____

If the rights held by the above identified small business concern
are not exclusive, each individual, concern or organization having rights to
the invention is listed below* and no rights to the invention are held by
any person, other than the inventor, who could not qualify as a small
business concern under 37 CFR 1.9(d) or by any concern which would not
qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit
organization under 37 CFR 1.9(e).

* Note: Separate verified statements are required for each
named person, concern, or organization having rights to the invention
averring to their status as small entities. (37 CFR 1.27)

FULL NAME:
ADDRESS:

☐ Individual ☐ Small Business Concern ☐ Nonprofit organization

I acknowledge the duty to file, in this application or patent,
notification of any change in status resulting in loss of entitlement to
small entity status prior to paying, or at the time of paying, the earliest
of the issue fee or any maintenance fee due after the date on which status
as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own know-
ledge are true and that all statements made on information and belief are
believed to be true; and further that these statements were made with the
knowledge that willful false statements and the like so made are punishable
by fine or imprisonment, or both, under Section 1001 of Title 18 of the
United States Code, and that such willful false statements may jeopardize
the validity of the application, any patent issuing thereon, or any patent

RECORD ON FORM COVER SHEET
PATENTS ONLYU.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Peter C. DeAngelis

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: February 7, 1997

2. Name and address of receiving party(ies):

Name: ROKENBOK TOY COMPANY

Internal Address: _____

Street Address: 119 Aberdeen, Suite 7City Cardiff State CA ZIP 92007Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: 2/7/97

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John K Fitzgerald, Esq.Internal Address: FULWIDER PATTON LEE &
UTECHT, LLPStreet Address: 10877 Wilshire Boulevard,
Tenth FloorCity: Los Angeles State: CA ZIP 900246. Total No. of applications and patents involved ☐

One

7. Total fee (37 CFR 3.41):.....\$ 40.00☒ Enclosed☐ Authorized to be charged to deposit account☒ Any deficiencies in enclosed fees8. Deposit account number: 06-2425

(Attach duplicate copy of this page if paying by deposit account)

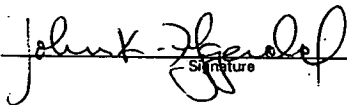
DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John K. Fitzgerald

Name of Person Signing



Signature

Feb. 11, 1997

Date

ASSIGNMENT

This Assignment made by Peter C. DeAngelis of Carlsbad, California, Assignor, to Rokenbok Toy Company, a California corporation, Assignee, having a place of business at 119 Aberdeen, Suite 7, Cardiff, California 92007.

WHEREAS, Assignor has invented a new and useful SYSTEM AND METHOD FOR CONTROLLING THE OPERATION OF TOYS for which an application for United States Letters Patent has been executed by them this day; and

WHEREAS, Assignor believes herself to be the original and first inventor of the invention disclosed and claimed in said application for Letters Patent; and

WHEREAS, Assignee desires to acquire by formal, recordable assignment the entire right, title and interest in and to said invention, said application, and any Letters Patent that may be granted for said invention in the United States and throughout the world;

NOW, THEREFORE, in consideration of the sum of Ten Dollars (\$10.00) and of other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor hereby sells, assigns and transfers to Assignee, the entire right, title and interest in and to said invention, said application, and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for

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any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties or otherwise.

Further, Assignor agrees that, upon request and without further compensation, but at no expense to Assignor, she and her legal representative(s) and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording or maintaining the title of Assignee, its successors and assigns, to said invention, said application, and any Letters Patent granted for said invention in the United States and throughout the world.

Assignor represents and warrants that she has not granted and will not grant to others any rights inconsistent with the rights granted herein.

Assignor authorizes and requests the Commissioner of Patents and Trademarks of the United States and of all foreign countries to issue any Letters Patent granted for said invention, whether on said application or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as the assignee of the entire interest in said invention.

IN WITNESS WHEREOF, Assignor has executed this Assignment
on the dates written hereinbelow.

Assignor:

Date: Feb 7, 1997


Peter C. DeAngelis

FORM PTO 1595

1-31-93



04-24-1997

SHEET

U.S. DEPT. OF COMMERCE

67978 U.S. PTO

04/24/97

02/11/97

40-581

AID

To the Honorable Commissioner of Pa.

100401461

attached original documents or copy thereof.

1. Name of conveying party(ies):

Peter C. DeAngelis

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

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Internal Address:

Street Address: 119 Aberdeen, Suite 7

City Cardiff State CA ZIP 92007

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

68747188

If this document is being filed together with a new application, the execution date of the application is: 2/7/97

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John K Fitzgerald, Esq.

Internal Address: FULWIDER PATTON LEE &

UTECHT, LLP

Street Address: 10877 Wilshire Boulevard,

Tenth Floor

City: Los Angeles State: CA ZIP 90024

6. Total No. of applications and patents involved ☐

One

7. Total fee (37 CFR 3.41):.....\$ 40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account
☒ Any deficiencies in enclosed fees

8. Deposit account number: 06-2425

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John K. Fitzgerald

Name of Person Signing

Signature

Feb. 11, 1997

Date

08/797188



02/11/97

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WHEREAS, Assignee desires to acquire by formal, recordable assignment the entire right, title and interest in and to said invention, said application, and any Letters Patent that may be granted for said invention in the United States and throughout the world;

NOW, THEREFORE, in consideration of the sum of Ten Dollars (\$10.00) and of other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor hereby sells, assigns and transfers to Assignee, the entire right, title and interest in and to said invention, said application, and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for

any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties or otherwise.

Further, Assignor agrees that, upon request and without further compensation, but at no expense to Assignor, she and her legal representative(s) and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording or maintaining the title of Assignee, its successors and assigns, to said invention, said application, and any Letters Patent granted for said invention in the United States and throughout the world.

Assignor represents and warrants that she has not granted and will not grant to others any rights inconsistent with the rights granted herein.

Assignor authorizes and requests the Commissioner of Patents and Trademarks of the United States and of all foreign countries to issue any Letters Patent granted for said invention, whether on said application or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as the assignee of the entire interest in said invention.

IN WITNESS WHEREOF, Assignor has executed this Assignment
on the dates written hereinbelow.

Assignor:

Date: Feb 7, _____, 1997



Peter C. DeAngelis